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Kolmogorov complexity and characteristic constants of formal theories of arithmetic. (English summary)

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For a fixed universal Turing machine (UTM) Φ which can enumerate all Turing machines with inputs, the Kolmogorov complexity of a natural number x is the least natural number y such that $\Phi_y(0) \downarrow x$, i.e., the Turing machine with code y halts with input 0 and outputs x ; in notation $K^\Phi(x) = y$. The characteristic constant (or Chaitin constant) c_T^Φ of a formal theory T is the least natural number y such that for any natural number x , $T \not\vdash K^\Phi(x) > y$. The Raatikainen constant r_T^Φ of a formal theory T is the least natural number i such that the Turing machine with code i on input 0 does not terminate ($\Phi_i(0) \uparrow$) and T cannot prove this fact; that is, $T \not\vdash \Phi_i(0) \uparrow$. A very brief history of these constants is that G. J. Chaitin [*J. Assoc. Comput. Mach.* **21** (1974), 403–424; [MR0455537 \(56 #13775\)](#)] proved that for any recursively axiomatized consistent formal system T there exists a number c_T such that for no x , can T prove $K(x) > c_T$, though there are some x 's such that $K(x) > c_T$ is true. Then this constant c_T was taken to be the complexity of the theory T and it was claimed that T cannot prove sentences with complexity greater than c_T . P. Raatikainen [*J. Philos. Logic* **27** (1998), no. 6, 569–586; [MR1663393 \(2000b:68102\)](#)], criticizing the received interpretation of Chaitin's constant in the logic literature, showed that for some specific choice of a UTM the constant c_T can be zero; thus, as the authors of the current paper argue, $c_S = c_T$ is always possible for two theories S and T . Whence the constant c_T cannot measure any complexity of a theory T .

The main result of the current paper is that for two theories S and T extending Peano arithmetic, the following are equivalent:

- (1) There exists a Π_1 -sentence which is provable in T but not in S , or in notation, $\Pi_1(T) \not\subseteq \Pi_1(S)$;
- (2) $r_S = r_T$ and $c_S < c_T$ for some UTM;
- (3) $r_S < r_T$ and $c_S < c_T$ for some UTM;
- (4) $r_S < r_T$ and $c_S = c_T$ for some UTM.

Whence, the authors' statement in the abstract "We prove the following are equivalent: $c_S \neq c_T$ for some universal Turing machine, $r_S \neq r_T$ for some universal Turing machine, and T proves some Π_1 -sentence which S cannot prove" is misleading, since the condition " $c_S \neq c_T$ for some UTM" is not equivalent to the condition " $r_T \neq r_S$ for some UTM". Instead " $\Pi_1(T) \not\subseteq \Pi_1(S)$ " is shown to be equivalent to " $r_S < r_T$ and $c_S \leq c_T$ for some UTM" and to " $r_S \leq r_T$ and $c_S < c_T$ for some UTM".

It is also proved that for any UTM Φ and any natural number n there exists a UTM Ψ such that $K^\Phi = K^\Psi$, $r_T^\Phi = r_T^\Psi$ and $r_T^\Psi + n < c_T^\Psi$. *Saeed Salehi*

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Note: This list reflects references listed in the original paper as accurately as possible with no attempt to correct errors.

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