

SELF-REFERENCE AND DIAGONALIZATION:  
THEIR DIFFERENCE AND A SHORT HISTORY

SAEED SALEHI

The New York City Category Theory Seminar

CUNY Graduate Center      23 November 2022

## Fixed-Points, Diagonalization, and Self-Reference

### ▶ Fixed Points

There is a *mapping*, and an object is proved to exist that is mapped to itself, in the Theorem or in the Proof.

### ▶ Diagonalization

The diagonal of a matrix is used (or referred to) in the Theorem or in the Proof.

### ▶ Self-Reference

Something (an object, or a concept) refers to (the code, the name, or something of) itself, either in the Theorem or in the Proof.

## Self-Referential

- ▶ **Something** (an object, or a concept) refers to (the code, the name, or something of) itself, either in the Theorem or in the Proof.

### Theorem (BARBER's Paradox)

*F.O.Logic*  $\vdash \neg \exists \text{barber } \forall x (\text{barber shaves } x \longleftrightarrow \neg [x \text{ shaves } x]).$

### Proof.

If  $\exists \text{barber } \forall x (\text{barber shaves } x \longleftrightarrow \neg [x \text{ shaves } x])$ , then for  $x = \text{barber}$  we get the contradiction (similar to the LIAR's paradox)  
 $\text{barber shaves barber} \longleftrightarrow \neg [\text{barber shaves barber}]!$  ■

FIXED POINT?      DIAGONAL?

**THEOREM.** *S.O.Logic*  $\vdash \neg \exists X^{(2)} \exists \alpha \forall x [X(\alpha, x) \longleftrightarrow \neg X(x, x)].$

**QUESTION:** What about YABLO's Paradox?

## Fixed-Points

- ▶ There is a mapping, and an object is proved to exist that is mapped to itself, in the Theorem or in the Proof.

**LAWVERE:** In a cartesian closed category, if there is a point-surjective map  $\eta: B \rightarrow A^B$  (for objects  $A, B$ ), then every map  $f: A \rightarrow A$  has a fixed point ( $\varepsilon: \mathbf{1} \rightarrow A$  such that  $\varepsilon = f\varepsilon$ ).

**KNASTER–TARSKI:** Every monotonic function on a complete lattice has some fixed points (which constitute a complete lattice).

**KLEENE:** Every Scott-continuous function on a directed complete partial order with a least element, has a (least) fixed point.

SELF-REFERENTIAL?

DIAGONAL?

## Kleene's Recursion Theorem

For every computable  $F(x, \vec{y})$  there is an  $e$  such that  $\varphi_e(\vec{y}) \cong F(e, \vec{y})$ .  
For every computable  $\mathfrak{f}(x)$  there is an  $e$  such that  $\varphi_e(\vec{y}) \cong \varphi_{\mathfrak{f}(e)}(\vec{y})$ .

Proof.

Let  $\mathcal{S}(i, j)$  be a recursive index of  $\vec{y} \mapsto \varphi_i(j, \vec{y})$ . Consider the matrix  $[F(\mathcal{S}(i, j), \vec{y})]_{i, j \in \mathbb{N}}$  and its diagonal  $(x, \vec{y}) \mapsto F(\mathcal{S}(x, x), \vec{y})$ , which is recursive and so has an index  $m$ ; put  $e = \mathcal{S}(m, m)$ . **Now, we have**  
 $\varphi_e(\vec{y}) \cong \varphi_{\mathcal{S}(m, m)}(\vec{y}) \cong \varphi_m(m, \vec{y}) \cong F(\mathcal{S}(m, m), \vec{y}) \cong F(e, \vec{y})$ . ■

$e$  may not be equal to  $\mathfrak{f}(e)$ , they just code the same function!

For  $\Phi(\bar{h}) = \varphi_{\mathfrak{f}(\#h)}$  there is a fixed point  $\mathfrak{g} = \Phi(\mathfrak{g})$ ; and  $e = \#g$ .

But  $\Phi(\bar{h})$  is *not* well-defined, unless  $\varphi_i \cong \varphi_j \Rightarrow \varphi_{\mathfrak{f}(i)} \cong \varphi_{\mathfrak{f}(j)}$ .

SELF-REFERENTIAL ✓

FIXED POINT ✗

DIAGONAL ✓

## Diagonalization

- ▶ The diagonal of a matrix is used (or referred to) in the Theorem or in the Proof.

### WHO INVENTED/DISCOVERED THE DIAGONALIZATION?

- ▶ Georg CANTOR (1891)?
- ▶ Paul DU BOIS-REYMON (1870,1872,1875)?
- ▶ René DESCARTES?<sup>[\*]</sup>
- ▶ EUCLID OF ALEXANDRIA?
- ▶ PYTHAGORAS?

---

If *diagonalization* was not invented/discovered by CANTOR, it was surely matured by him! In a way that everyone after him, including RUSSELL, GÖDEL, TURING, and KLEENE, followed his footsteps.

---

[\*] T. MEADOWS (2022), Did Descartes Make a Diagonal Argument?, *J.Phil.Log.* 51<sub>2</sub>:219–47.

## An Ancient Diagonalization (?)

### Theorem (Infinitude of the Primes)

*There are infinitely many prime numbers.*

### Proof.

For every finite number of primes  $p_1, p_2, \dots, p_n$ , there is a prime  
(factor of  $1 + p_1 \cdot p_2 \cdots p_n$ , which is) distinct from  $p_1, p_2, \dots, p_n$ . ■

### A Diagonal Proof.

$$n! = 1 \times 2 \times \cdots \times n.$$

Let  $a_{\langle n, m \rangle} = 1$  if all the prime factors of  $m! + 1$  are  $\leq n$ , and  $a_{\langle n, m \rangle} = 0$  if some prime factor of  $m! + 1$  is  $> n$ . If all the primes are  $\leq N$ , then the  $N$ th row is all 1. But the diagonal  $\{a_{\langle n, n \rangle}\}_{n \in \mathbb{N}}$  is all 0, since no factor of  $n! + 1$  can be  $\leq n$ . A contradiction; so, there is no such  $N$ . ■

### A Non-Diagonal Proof.

For every  $N$ , the  $N$  numbers  $\{k \cdot N! + 1\}_{k=1}^N$  are pairwise coprime; so the number of primes cannot be  $< N$  by the Pigeonhole Principle. ■

## How was (CANTOR'S) diagonalization discovered (?)

**THEOREM.**  $\mathbb{R} \cap (0, 1)$  is uncountable.

CANTOR'S proofs:

Assume (for the sake of a contradiction) that  $(0, 1) = \{x_n\}_{n \in \mathbb{N}}$ .

(1874): Let  $b_0 = \min\{x_0, x_1\}$ ,  $d_0 = \max\{x_0, x_1\}$ , and inductively let  $b_{m+1} < d_{m+1}$  be the first two elements of  $\{x_n\}_{n \in \mathbb{N}}$  that lie inside  $(b_m, d_m)$ . Then  $\lim\{b_m\}_{m \in \mathbb{N}} \in (0, 1) \setminus \{x_n\}_{n \in \mathbb{N}}$ , since  $x_n \notin (b_n, d_n)$  for each  $n \in \mathbb{N}$ . (generalized in 1879)

(1884): Let  $\mathbf{I}_0$  be a closed sub-interval of  $(0, 1)$  with length  $< \frac{1}{2}$  that leaves out  $x_0$ . Inductively, let  $\mathbf{I}_{m+1}$  be a closed sub-interval of  $\mathbf{I}_m$  with length  $< \frac{1}{2}$  (length of  $\mathbf{I}_m$ ) that leaves out  $x_{m+1}$ . Then  $\bigcap_m \mathbf{I}_m$  is non-empty and disjoint from  $\{x_n\}_{n \in \mathbb{N}}$ .

(1891): **Diagonal Argument.**

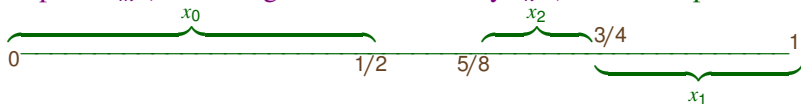
[[Nested Intervals]]



## A (Re-)Discovery of Diagonalization:

Ignore the (countable many) numbers  $m/2^n$  and write the *infinite* binary expansion (0, 1's in the base 2) of  $x_n$  as  $0.y_n^{10}y_n^{11}y_n^{12}\dots$ .

Let  $\mathbf{I}_0 = [0, 1]$ ; and inductively let  $\mathbf{I}_{m+1}$  be the half of  $\mathbf{I}_m$  that misses the point  $x_m$  (we have ignored the boundary  $x_n$ 's). For example,



$$x_0 = 0.0y_0^{11}y_0^{12}y_0^{13}\dots, x_1 = 0.11y_1^{12}y_1^{13}\dots, x_2 = 0.101y_2^{13}\dots$$

So, if  $\mathbf{I}_m = [b_m, d_m]$  let  $c_m = (b_m + d_m)/2$ ; if  $x_m \in [b_m, c_m]$  let  $\mathbf{I}_{m+1} = [c_m, d_m]$ , and if  $x_m \in [c_m, d_m]$  let  $\mathbf{I}_{m+1} = [b_m, c_m]$ .

Note that in the first case  $y_m^{1m} = 0$ , and in the second case  $y_m^{1m} = 1$ .

If  $\{x\} = \bigcap_{m \in \mathbb{N}} \mathbf{I}_m$ , then  $x \notin \{x_n\}_{n \in \mathbb{N}}$ . Notice that  $x = 0.y_0^{10}y_1^{11}y_2^{12}\dots$ .

In the example,  $x = 0.100yy'\dots =$  the anti-diagonal of  $[y_i^{1j}]_{i,j \in \mathbb{N}}$ .

## Some History

CANTOR's 2nd Proof [of  $\mathbb{R} \not\cong \mathbb{N}$ ] (almost missing):

- ▶ 1994 **A.M.M.**: “We begin by analyzing Cantor’s original articles, his 1874 article that contains his first proof and his 1891 article that contains his diagonal proof.” (… ?)
- ▶ 2010 **A.M.M.**: “In 1874, two years before the publication of his famous diagonalization argument, Georg Cantor’s first proof of the uncountability of the real numbers appeared in print· · · .” (X)
- ▶ 2010 *Mathematics Magazine* 83(4):283–9, Cantor’s Other Proofs that  $\mathbb{R}$  Is Uncountable, by J. FRANKS. (✓)

## Fixed-Point $\Rightarrow$ Diagonal $\Rightarrow$ Self-Referential

Generalized (Relational) Fixed-Point  $\equiv$  Self-Referential:

There is a (binary) *relation*, and an object is proved to exist that is related to itself, in the Theorem or in the Proof.

► Fixed-Point  $\Rightarrow$  Diagonal:

For  $F: I \rightarrow I$ , let  $a_{\langle i,j \rangle} = \begin{cases} 1 & \text{if } F(i) = j \\ 0 & \text{if } F(i) \neq j \end{cases}$  and  $M = [a_{\langle i,j \rangle}]_{i,j \in I}$

The fixed-points of  $F$  are indexed on the diagonal with entry 1.

► Diagonal  $\Rightarrow$  Self-Referential:

Given  $[a_{\langle i,j \rangle}]_{i,j \in I}$  the diagonal entry  $a_{\langle k,k \rangle}$  relates  $k \in I$  to itself.

## Self-Referential $\overset{?}{\Rightarrow}$ ? Diagonal $\overset{?}{\Rightarrow}$ ? Fixed-Point

► Self-Referential  $\overset{?}{\Rightarrow}$ ? Diagonal

LIAR's Paradox? DESCARTE's Cogito? Non-Trivial Diagonal?  
 "I am lying"  $\neg(\lambda \leftrightarrow \neg\lambda)$  Cogito, ergo sum ("I think, therefore I am")

► Diagonal  $\overset{?}{\Rightarrow}$ ? Fixed-Point

For the matrix  $M = [a_{\langle i,j \rangle} (\in \mathcal{A})]_{i,j \in I}$ , if for  $f: \mathcal{A} \rightarrow \mathcal{A}$  the function  $g(x) = f(a_{\langle x,x \rangle})$  is  $\mathbf{a}$ -definable [i.e.,  $g(x) = a_{\langle \mathbb{k}, x \rangle}$ , for some  $\mathbb{k} \in I$ , or  $g(x) = a_{\langle x, \mathbb{k} \rangle}$ ], then  $f$  has a fixed point [which is  $a_{\langle \mathbb{k}, \mathbb{k} \rangle}$ ].

$$\begin{array}{ccc}
 I^2 & \xrightarrow{\mathbf{a}} & \mathcal{A} \\
 \Delta \uparrow & & \downarrow f \\
 I & \xrightarrow{g} & \mathcal{A}
 \end{array}$$

LAWVERE (CT 1969) & YANOFSKY (BSL 2003).

## Self-Referential / Diagonal / Fixed-Point

- ▶ B. BULDT (2016); “On Fixed Points, Diagonalization, and Self-Reference”, in: *Von Rang und Namen*, Brill, pp. 47–64.

“... diagonalization need not result in fixed points and fixed points need not be self-referential.” (p. 48)

diagonalization  $\implies$  fixed points  $\iff$  (objectual) self-reference  
 $\Downarrow$   
incompleteness (p. 63)

“Yanofsky (2003) shows how all the usual suspects (i.e., paradoxes and limitative theorems) can be couched in terms of this framework and then follow from the generalized Cantor theorem.”

## Diagonal Lemma (of GÖDEL and CARNAP), popularly

- ▶ C. SMORYŃSKI (*forthcoming*); The Early History of Formal Diagonalization, *Logic Journal of IGPL*, online 15 July 2022.

“Linguistic self-reference goes back at least as far as the Greeks · · · [to] a variant of the Liar paradox.

Self-reference in formal languages, however, originated in Gödel’s paper of 1931.

In it, as we know, he presented the construction for a formula  $\neg Pr_{PM}(v_0)$  of a sentence  $\varphi$  such that  $\mathcal{PM} \vdash \varphi \leftrightarrow \neg Pr_{PM}(\ulcorner \varphi \urcorner)$ .

He also noted that the construction held for any extension  $\mathcal{T}$  of  $\mathcal{PM}$  which was primitive recursively axiomatized.”

- ▶ C. S. (NDJFL 1981); *Fifty Years of Self-Reference in Arithmetic*.
- ▶ C. S. (1991); *The Development of Self-Reference: Löb’s Theorem*.

## Diagonal Lemma of GÖDEL, originally

GÖDEL 1931 (Collected Works, Vol. 1):

Let's write **diag**( $y$ ) for  $Sb(y_{Z(y)}^{19})$ , which results from substituting (all) the free variable(s) of  $y$  with the Gödel code of  $y$ . Let  $Q(x, y)$  say that  $\langle x \text{ is not a proof-code for the diagonal of } y \rangle$  (p. 175). Since  $Q$  is [primitive] recursive, there is a “relation sign” (formula)  $q$  such that

if  $m$  is not a proof-code for **diag**( $n$ ), then  $PM \vdash q(\bar{m}, \bar{n})$  (9)

if  $m$  is a proof-code for **diag**( $n$ ), then  $PM \vdash \neg q(\bar{m}, \bar{n})$  (10).

Let  $p(y) = \forall x q(x, y)$  and  $r(x) = q(x, \ulcorner p(y) \urcorner)$ .

“Then we have” **diag**( $p$ ) =  $\forall x q(x, \ulcorner p \urcorner) = \forall x r(x) [= G]$ ;

“furthermore”  $q(\bar{m}, \ulcorner p \urcorner) = r(\bar{m})$ . Now, (9,10) for  $n = \ulcorner p \urcorner$  become

if  $m$  is not a proof-code for  $G [= \forall x r(x)]$ , then  $T \vdash r(\bar{m})$ ,

and if  $m$  is a proof-code for  $G [= \forall x r(x)]$ , then  $T \vdash \neg r(\bar{m})$ .

Now, if  $T \vdash_m G$ , then  $T \vdash \neg r(\bar{m})$  and  $T \vdash \forall x r(x)$ ; so  $T$  is inconsistent!

If  $T \vdash \neg G$ , then  $T \vdash \neg \forall x r(x)$  and  $\bigwedge_m T \vdash r(\bar{m})$ ; so  $T$  is  $\omega$ -inconsistent!

## What Happened to $Q \vdash G \leftrightarrow \neg \mathbf{Pr}(\ulcorner G \urcorner)$ ?

Did GÖDEL have a formula  $\pi(x, y)$  for **proof predicate** such that

if  $m$  is a proof-code for  $\psi$ , then  $PM \vdash \pi(\bar{m}, \ulcorner \psi \urcorner)$

and

if  $m$  is not a proof-code for  $\psi$ , then  $PM \vdash \neg \pi(\bar{m}, \ulcorner \psi \urcorner)$ ?

Could he show then that  $PM \vdash G \leftrightarrow \neg \exists x \pi(x, \ulcorner G \urcorner)$ ???

---

If we start from  $\pi$ , then  $\mathbf{Pr}(y) = \exists x \pi(x, y)$ . But since **diag** is not a function symbol in our language, we need a formula  $\delta(x, y)$  such that

**if  $m$  is the code of  $\varphi[\bar{v}/\ulcorner \varphi \urcorner]$ , then  $PM \vdash \forall z (\delta(z, \ulcorner \varphi \urcorner) \leftrightarrow z = \bar{m})$ .**

Thus, if  $m$  is not the code of  $\varphi[\bar{v}/\ulcorner \varphi \urcorner]$ , then  $PM \vdash \neg \delta(\bar{m}, \ulcorner \varphi \urcorner)$ .

Now, let  $q(x, y) = \forall z [\delta(z, y) \rightarrow \neg \pi(x, z)]$ . Note that  $q, r, G \in \Pi_1$ .

Yes,  $PM \vdash G \leftrightarrow \neg \mathbf{Pr}(\ulcorner G \urcorner)$ ! for  $G = \forall x q(x, \ulcorner \forall x q(x, y) \urcorner)$ .



## Diagonal Lemma of CARNAP, originally

- ▶ R. CARNAP (1934); *Logische Syntax der Sprache*, Springer.  
English translation: A. SMEATON, *The Logical Syntax of Language*,  
Kegan Paul, Trench, Trubner & Co Ltd (1937). (page 130)

“ Let any syntactical property of expressions be chosen  $\dots$ . Let  $\mathfrak{G}_1$  be the sentence with the free variable ‘ $x$ ’ (for which we will take the term-number  $\mathfrak{z}$ ) which expresses this property  $\dots$ . Let  $\mathfrak{G}_2$  be that sentence which results from  $\mathfrak{G}_1$  if for ‘ $x$ ’ ‘ $\text{subst}[x, \mathfrak{z}, \text{str}(x)]$ ’ is substituted.  $\dots$  Thus, if  $\mathfrak{G}_2$  is given, the series-number of  $\mathfrak{G}_2$  can be calculated; let it be designated by ‘ $b$ ’ (‘ $b$ ’ is a defined  $\mathfrak{z}$ ). Let the  $\text{SN}$  sentence  $\text{subst}[b, \mathfrak{z}, \text{str}(b)]$  be  $\mathfrak{G}_3$ ; thus  $\mathfrak{G}_3$  is the sentence which results from  $\mathfrak{G}_2$  when the  $\mathfrak{Gt}$  with the value  $b$  is substituted for ‘ $x$ ’. It is easy to see that, syntactically interpreted,  $\mathfrak{G}_3$  mean that  $\mathfrak{G}_3$  itself has the chosen syntactical property.”

## Diagonal / Self-Referential Lemma

- ▶ **GÖDEL**: There exists a formula  $r(x)$  such that for every  $m \in \mathbb{N}$ :
  - if  $m$  is *not* a  $T$ -proof-code for  $\forall x r(x)$ , then  $T \vdash r(\bar{m})$ ,
  - and if  $m$  is a  $T$ -proof-code for  $\forall x r(x)$ , then  $T \vdash \neg r(\bar{m})$ .
- ▶ **CARNAP**: For every formula  $F(x)$  there is a sentence  $\sigma$  such that  $\sigma$  is true iff  $F(\ulcorner \sigma \urcorner)$  is true. (Semantic Diagonal Lemma)
- ▶ **ROSSER(1936,37,39); KREISEL(1950,53); HENKIN(1952); TARSKI-MOSTOWSKI-ROBINSON(1953,68,71,2010[1938-9]); LÖB(1955); — MOSTOWSKI(1952)**.
- ▶ **FEFERMAN(1960); MONTAGUE(1962); KREISEL-TAKEUTI(1974); SMORYŃSKI(1977) ...**
  - For every formula  $F(x)$  there is a sentence  $\sigma$  such that  $Q \vdash \sigma \leftrightarrow F(\ulcorner \sigma \urcorner)$ .

## More History

- ▶ B. ROSSER (1939); An Informal Exposition of Proofs of Gödel's Theorems and Church's Theorem, *J. Symbolic Logic* 4(2):53–60.

“**LEMMA 1.** Let “ $x$  has the property  $Q$ ” be expressible in  $L$ . Then for suitable  $L$ , there can be found a sentence  $F$  of  $L$ , with a number  $n$ , such that  $F$  expresses “ $n$  has the property  $Q$ .” That is,  $F$  expresses “ $F$  has the property  $P$ .” [Formula has the property  $P$  iff its number has the property  $Q$ ]. . . . “for suitable  $L$ ” [means] that “ $z = \phi(x, x)$ ” [is] expressible in  $L$  . . .

**DEFINITION.**  $\phi(x, y)$  is the number of the formula got by taking the formula with the number  $x$  and replacing all occurrences of  $v$  in it by the term of  $L$  which denotes the number of  $y$ .

[**PROOF.**] Let  $G$  be the formula of  $L$  which expresses “ $\phi(x, x)$  has the property  $Q$ .”  $G$  has a number,  $n$ . Now get  $F$  from  $G$  by replacing all  $v$ 's of  $G$  by the term of  $L$  which denotes  $n$ . Then  $F$  denotes “ $\phi(n, n)$  has the property  $Q$ ” . . . . However . . . ,  $\phi(n, n)$  is the number of  $F$ , because  $F$  was got by taking the formula with the number  $n$  and replacing all occurrences of  $v$  in it by the term of  $L$  which denotes  $n$ . So  $F$  expresses “the number of  $F$  has the property  $Q$ ,” that is “ $F$  has the property  $P$ .” ”

## Even More History

- ▶ G. KREISEL (1950); Note on Arithmetic Models for Consistent Formulae of the Predicate Calculus, *Fund. Math.* 37(1):265–85.

“... what Gödel [did was] to apply the diagonal definition to a system of predicates which are not *systematically decidable*, but quantified; now we must expect that the formal definition of the diagonal predicate is of the given sequence  $\mathfrak{A}_n(m)$ , say the  $p^{\text{th}}$ ; then  $\mathfrak{A}_p(p)$  is undecided in the system. This situation occurs in... Gödel’s argument. ...  $s(a, b)$  is a function whose value is the number of the expression got when the free variable in the expression with number  $b$  is replaced by the number  $a$ . Then Gödel orders all expressions of a formalism by his numbering, so that, say,  $\mathfrak{A}_n(\alpha)$  with the free variable  $\alpha$  has the number  $n$ . He considers the sequence of formulae  $\exists y \mathbf{prf}[y, s(m, n)]$  which will be provable if  $\mathfrak{A}_n(m)$  can be proved in the system. The [anti-]diagonal definition is  $\forall y \neg \mathbf{prf}[y, s(n, n)]$  and...; i.e. the [anti-]diagonal definition is one of the sequence, and here the diagonal argument establishes undecidability.”

## A Fixed-Point Lemma?

- For every formula  $F(x)$  there is a sentence  $\sigma$  such that

$$Q \vdash \sigma \leftrightarrow F(\ulcorner \sigma \urcorner).$$

Looks Like a Fixed-Point!?

Consider  $\psi \mapsto F(\ulcorner \psi \urcorner)$ . Under monotone codings,  $\ulcorner F(\ulcorner \psi \urcorner) \urcorner > \ulcorner \psi \urcorner$ .

Let  $\mathfrak{J}: \mathbf{Sent}_{\mathfrak{T}} \rightarrow \mathbf{Sent}_{\mathfrak{T}}$  be  $\mathfrak{J}([\psi]_{\mathfrak{T}}) = [F(\ulcorner \psi \urcorner)]_{\mathfrak{T}}$ .

A fixed-point is  $[\sigma]_{\mathfrak{T}} = [F(\ulcorner \sigma \urcorner)]_{\mathfrak{T}}$ , or  $\mathfrak{T} \vdash \sigma \leftrightarrow F(\ulcorner \sigma \urcorner)$ .

If  $\mathfrak{J}$  is a well-defined function:  $\mathfrak{T} \vdash \varphi \leftrightarrow \psi \Rightarrow \mathfrak{T} \vdash F(\ulcorner \varphi \urcorner) \leftrightarrow F(\ulcorner \psi \urcorner)$ .

- ▶ GÖDEL's:  $\mathfrak{T} \vdash \varphi \leftrightarrow \psi \Rightarrow \mathfrak{T} \vdash \neg \mathbf{Pr}(\ulcorner \varphi \urcorner) \leftrightarrow \neg \mathbf{Pr}(\ulcorner \psi \urcorner)$ .
- ▶ CARNAP's: Let  $H(x)$  say that “ $x$  starts with  $\neg$ ”, and let  $A$  be a  $\neg$ -free sentence. Then  $A \equiv \neg \neg A$ , but  $H(\ulcorner A \urcorner)$  is false while  $H(\ulcorner \neg \neg A \urcorner)$  is true. So,  $[\psi]_{\mathfrak{T}} \mapsto [H(\ulcorner \psi \urcorner)]_{\mathfrak{T}}$  is not well-defined.

## Strong Diagonal/Direct Self-Referential Lemma

**LEMMA.** *In a sufficiently expressive language  $\forall F(x)\exists\sigma: \sigma = F(\ulcorner\sigma\urcorner)$ .*

**Proof.** Recall  $\mathbf{diag}(\ulcorner\varphi\urcorner) = \ulcorner\varphi[\bar{v}/\ulcorner\varphi\urcorner]\urcorner$ . Let  $n = \ulcorner F(\mathbf{diag}(x))\urcorner$  and  $\sigma = F(\mathbf{diag}(\bar{n}))$ . Then  $\sigma = F(\ulcorner F(\mathbf{diag}(n))\urcorner) = F(\ulcorner\sigma\urcorner)$ . ■

- ▶ R.G. JEROSLOW (1973); Redundancies in the Hilbert-Bernays Derivability Conditions for Gödel's 2nd Thm, *JSL* 38(3):359–67.

“The... lemma was discovered by the referee...”

**LEMMA.** *There are Gödel codings (computable injections  $\eta \mapsto \ulcorner\eta\urcorner$  from strings to closed terms) such that  $\forall F(x)\exists\sigma: \sigma = F(\ulcorner\sigma\urcorner)$ .*

- ▶ S.A. KRIPKE (1975); Outline of a Theory of Truth, *The Journal of Philosophy* 72(19):690–716.
- ▶ A. VISSER (1989); “Semantics and the Liar Paradox”, *Handbook of Philosophical Logic IV*, pp. 617–706 (2<sup>nd</sup> ed. 2004, 11, pp. 149–240).
- ▶ S.A. KRIPKE (forthcoming); Gödel's Theorem and Direct Self-Reference, *Review of Symbolic Logic*, online 02 December 2021.

## Where is the Original GÖDEL-CARNAP Lemma?

▶  $\forall F(x)\exists\sigma: Q \vdash \sigma \leftrightarrow F(\ulcorner\sigma\urcorner)$ .

Many sentences  $\sigma$  leak in.

▶ **GÖDEL-CARNAP**: write  $F(x) = \forall y \theta(y, x)$  [ $\theta = \neg\mathbf{prf}$ ]; let  $q(y, z) = \theta(y, \mathbf{diag}(z))$ ,<sup>[†]</sup>  $p(z) = \forall y q(y, z)$ ,  $r(y) = q(y, \ulcorner p(z)\urcorner)$ , and  $\sigma = \forall y r(y)$ . Then, we have  $\mathbf{diag}(\ulcorner p(z)\urcorner) = \ulcorner\sigma\urcorner$ ,<sup>[‡]</sup> so  $\sigma = \forall y \theta(y, \mathbf{diag}(\ulcorner p(z)\urcorner)) = \forall y \theta(y, \ulcorner\sigma\urcorner) = F(\ulcorner\sigma\urcorner)$ .<sup>[§]</sup>

GÖDEL had **diag** at his disposal, but didn't use it!

▶  $\forall F(x)\exists\sigma: \sigma = F(\ulcorner\sigma\urcorner)$ .

---

<sup>[†]</sup>  $q(y, z) = \forall w [\delta(w, z) \rightarrow \theta(y, w)]$  or  $q(y, z) = \exists w [\delta(w, z) \wedge \theta(y, w)]$ ,

<sup>[‡]</sup>  $\delta(\ulcorner\sigma\urcorner, \ulcorner p(z)\urcorner)$  [ $\dashv Q$ ].

<sup>[§]</sup>  $\sigma \leftrightarrow F(\ulcorner\sigma\urcorner)$  [ $\dashv Q$ ].

**THANK YOU!**

Thanks to

The Participants ..... For Listening ...

and

The Organizers, For Taking Care of Everything ...